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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 371 CONCERNING A FILING UNDER 35 U.S.C.

ATTORNEY 'S DOCKET NO .: PRD-2108-USPCT1

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

- 00	DNCERNING A FILING UNDER	1 35 U.S.C.	1U/569/6 <b>n</b>						
INTERNATIONAL APPLICATION NO. PCT/EP2004/052029		INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
		September 3, 2004	September 12, 2003 and September 3, 2004						
TIT	LE OF INVENTION CHIMERIC GAE	BAB RECEPTOR							
API		s et al.							
App	olicant herewith submits to the United State	s Designated/Elected Office (DO/EO/L	(S) the following items and other information:						
1.	☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2.	☐ This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.								
3.	∑ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below								
4.	☑ The US has been elected (Article 31).								
5.	A copy of the International Application	as filed (35 U.S.C. 371(c)(2))							
	<ul> <li>a. ☒ is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. ☐ has been communicated by the International Bureau.</li> <li>c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>								
6.	An English language translation of the	e International Application as filed (35	J.S.C. 371(c)(2)).						
	<ul><li>a.  is attached hereto</li><li>b.  has been previously submitted</li></ul>	d under 35 U.S.C. 154(d)(4).							
7.	<ul> <li>Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</li> <li>a. ☐ are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. ☐ have been communicated by the International Bureau.</li> <li>c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. ☒ have not been made and will not be made.</li> </ul>								
8.	☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).								
9.		zation of Agent of the inventor(s) (35 l	J.S.C. 371(c)(4)).						
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
	Items 11 to 20 below concern document	(s) or information included:							
11.	☑ An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.							
12.		ording. A cover sheet for the Assignm	ent in compliance with 37 CFR 3.28 and 3.31 is included.						
13.	☑ A preliminary amendment.		•						
14.	☐ An Application Data Sheet under 37 C	CFR 1.76.	·						
15.	☐ A substitute specification.								
16.	One (1) Deposit Receipt for Belgian C	coordinated Collections of Microorganis	sms – BCCM <sup>TM</sup> LMBP-Collection.						
17.	☐ One (1) Declaration of Inventorship.								
18.	A computer-readable form (together wand 37 CFR 1.821-1.825.	with Verified Statement) of the sequence	e listing in accordance with PCT Rule 13ter.2						
19.	☐ A second copy of the English languag	e translation of the international applic	ation under 35 U.S.C. 154(d)(4).						
20. Sea	☑ Other items or information: Express M rch Report), PCT Request and International	fail Certification, Priority Document Wo	2005/026208 A2 and A3 (together with the International						

This collection of information is required by 37 CFR 1.414 AND 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390(Rev. 12-2004)

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U.S. APPLICATION NO-cif Inform, see 27 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2004/052029						ATTORNEY'S DOCKET NUMBER PRD-2108-USPCT			
21. 🛮 The following fees are submitted:						Applicant use	Office use only		
v a) Bacio national	foo		\$300	00		\$ 300.00			
			\$300			\$ 200.00			
						\$ 500.00			
X c) Search fee			\$50			\$ 1,000.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence									
fisting or comp	uter program listing heets of paper or fra								
Total Sheets			RATE						
- 100 =	/50 =	:			x \$250.00	\$ 0.00			
Surcharge of \$130 claimed priority da	.00 for furnishing the te (37 CFR 1.492(e)	\$ 0.00							
CLAIMS	NUMBER FILE	LED NUMBER EXTRA		RATE					
Total claims	29 -20		9	x \$	550.00	\$ 450.00			
Independent claim	s 8 -3	3 5		x 2	200.00	\$ 1,000.00			
MULTIPLE DEPEN	NDENT CLAIM(S) (if	applica	ble)	+ \$	300.00	\$ 0.00 -			
			TOTAL OF ABOV	E CALC	CULATIONS =	\$ 1,450.00			
Applicant claim	ns small entity status	s. See 3	37 CFR 1.27. The fees indicate	ed abov	e are reduced	\$ 0.00			
,		\$ 2,450.00							
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)) .									
		\$ 2,450.00							
Fee for recording t accompanied by a	he enclosed assignr n appropriate cover	st be	\$ 40.00						
		ENCLOSED =	\$ 40.00						
			Amo	unt to b	e refunded:		\$		
		be charged:		\$ 2,490.00					
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No. 10-0750/PRD-2108-USPCT/LAD in the amount of \$2,490.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0750/PRD-2108-USPCT/LAD. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.									
/Laura A. Donnelly/									
SEND ALL CORRI Philip S. Johnson,					Signature				
Johnson & Johnson One Johnson & Johnson Plaza			Name: Laura A. D.			onnelly			
New Brunswick, NJ 08933-7003					Reg. No. omey for Applic	ants			
USA					Dated: February 24, 2006				

PRD-2108-USPCT1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Smans et al.

For:

CHIMERIC GABAB RECEPTOR

**Express Mail Certificate** 

"Express Mail" mailing number:

EL 960331931 US

Date of Deposit:

February 24, 2006

I hereby certify that this entry into the National Phase pursuant to 35 USC 371 contains complete application:

Express Mail Certificate (1 page)
Return Receipt Postcard (1 page)

PCT FORM 1390 Transmittal (2 pages & 2 copies of same)

Copy of International Application as filed (40 pages) Executed Power of Attorney (2) (2 pages)

Executed Assignments (1) with

Cover Sheet (2 pages)

Information Disclosure Statement and

Form 1449 and MPEP 609D (6 pages)
PCT Declaration of Inventorship (3 pages)
PCT Request (4 pages)
Preliminary Amendment (8 pages)

International Preliminary Report

on Patentability (14 pages)

Deposit Receipt for Belgian Coordinated Collections of Microorganisms - BCCM<sup>TM</sup>

LMBP – Collection (2 pages)

Sequence Listing (paper copy & disk)

with Verified Statement (19 pages)

Copy of WO 2005/026208 A2 & A3 (57 pages including drawings & ISR)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee; service under 37 CFR 1.10 on the date indicated above is addressed to the Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Laurie A. Phillips	
(Typed or printed name of person mailing paper or fee)	
/Laurie A. Phillips/	
(Signature of person mailing paper or fee)	

IAP6 Rec'd PCT/PTO 24 FEB 2006

DOCKET NO.: PRD-2108-USPC

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Smans et al.

For:

CHIMERIC GABAB RECEPTOR

Filed:

I.A. September 3, 2004

Serial No:

Unassigned

### **VERIFIED STATEMENT UNDER 37 CFR §1.821(f)**

I hereby verify that the computer readable diskette and paper copy enclosed herewith includes the same information as provided in the Sequence Listing of the subject application, and that this statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully Submitted,

Laura A. Donnelly

Registration No. 38,435

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Dated: February 24, 2006